



What does Mason & Associates LLC do with your personal information?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Financial account balances, holdings, and transaction history
- Investment experience, income, and assets

When you are no longer our customer, we continue to share your information as described in this notice.

How? All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Mason & Associates LLC chooses to share; and whether you can limit this sharing.

Reasons why we can share your personal information:	Do we share?	Can you limit your sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), utilize third-party software tools for your benefit, respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share

Reasons why we can share your personal information:

Do we share?

Can you limit your sharing?

For our affiliates' everyday business purposes—information about your Credit worthiness

No

We don't share

For nonaffiliates to market to you

No

We don't share

Questions?

Call (757) 223-9898 or go to <https://masonllc.net>

Who are we?

Who is providing this notice?

Mason & Associates LLC

What we do:

How does Mason & Associates LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings, as well as other physical, electronic and procedural safeguards. Additionally, your personal information is limited to only those who need such information to carry out their business functions, and personnel are educated about safeguarding personal information. Personnel also acknowledge their receipt and understanding of our policies in writing.

How does Mason & Associates LLC collect my personal information?

We collect your personal information, for example, when you:

- Enter into an investment advisory contract
- Provide account information
- Open an account
- Seek advice about your investments
- Tell us about your investment or retirement portfolio

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes—information about your credit worthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • Mason & Associates LLC has no affiliates
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • Mason & Associates LLC does not share with nonaffiliates so they can market to you
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. • Mason & Associates LLC does not jointly market

Please note that we may also share your personal information with a successor advisor if necessary in connection with the unexpected death or incapacity of our principal owners, as well as in connection with the eventual retirement or other change in control of the firm.